

10/599691  
29 Nov 06

DIVISION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2006 NOV 29 PM 4: 07

Application of:  
Inventors : Jean Durand, Francois Lauvray  
and Isabelle Richardt  
Serial No. : 10/599,691  
Filed : October 5, 2003  
Title : METHODS FOR COATING A  
SUBSTRATE AND FORMING A  
COLORED FILM AND RELATED  
DEVICE  
Docket No. : CLB 003 P2 - BR 26743

Mail Stop 16  
Director of the USPTO  
P.O. Box 1450  
Alexandria , VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop 16, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450, on November 22, 2006 by Tammy Frederick.

*Tammy Frederick*

Signature of Person Mailing Paper or Fee

Sir:

REQUEST FOR REFUND

Applicant requests a refund of the October 5, 2006 overpayment of filing fees, Applicant's error. The application was mistakenly filed as a large entity but the Applicant is a small entity. The application was filed via EFS web on October 5, 2006. The RAM confirmation number for the transaction is 52 and a copy of that transmission is enclosed for your convenience.

No fee is believed due by Applicant's request. Applicant requests that the excess charge of \$625 be credited to **Deposit Account 50-1287**.

Respectfully submitted,

JACOX, MECKSTROTH & JENKINS

By *Matthew R. Jenkins*

Matthew R. Jenkins, Reg. No. 34,844

Jacox, Meckstroth & Jenkins  
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November 22, 2006  
MRJ:tlf

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## Electronic Acknowledgement Receipt

EFS ID:	1236720
Application Number:	10599691
Confirmation Number:	2881
Title of Invention:	METHODS FOR COATING A SUBSTRATE AND FORMING A COLORED FILM AND RELATED DEVICE
First Named Inventor:	Jean Durand
Customer Number:	34232
Filer:	Matthew Richard Jenkins/Tammy Frederick
Filer Authorized By:	Matthew Richard Jenkins
Attorney Docket Number:	CLB 003 P2 - BR 26743
Receipt Date:	05-OCT-2006
Filing Date:	
Time Stamp:	14:56:33
Application Type:	U.S. National Stage under 35 USC 371
International Application Number:	PCT/FR05/00798

### Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$1250
RAM confirmation Number	52
Deposit Account	

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part	Pages
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1	Oath or Declaration filed	CLB003P2_BR26743_DECLARATION.pdf	25294	no	2
Warnings:					
Information:					
2	Power of Attorney	CLB003P2_BR26743_POWER.pdf	25821	no	1
Warnings:					
Information:					
3	Authorization for Extension of Time all replies	CLB003P2_BR26743_AUTHORIZATION_TO_CHARGE_DEPOSIT_ACCOUNT.pdf	27755	no	1
Warnings:					
Information:					
4	Application Data Sheet	CLB003P2_BR26743_US_A DS_Form_SB_14.pdf	2772437	no	5
Warnings:					
Information:					
5		CLB003P2_BR26743_APPLICATION.pdf	55820	yes	12
	Multipart Description				
	Doc Desc		Start	End	
	Specification		1	8	
	Claims		9	11	
	Abstract		12	12	
Warnings:					
Information:					
6	Translation of Foreign Priority Documents	CLB003P2_BR26743_TRANSLATION.pdf	51078	no	13
Warnings:					
Information:					
7	Drawings	CLB003P2_BR26743_DRAWINGS.pdf	10561	no	1
Warnings:					
Information:					

8		CLB003P2_BR26743_PRELIMINARY_AMENDMENT.pdf	69312	yes	14
	<b>Multipart Description</b>				
	<b>Doc Desc</b>		<b>Start</b>	<b>End</b>	
	Preliminary Amendment		1	1	
	Specification		2	6	
	Claims		7	12	
	Abstract		13	13	
	Applicant Arguments/Remarks Made in an Amendment		14	14	
<b>Warnings:</b>					
<b>Information:</b>					
9	Fee Worksheet (PTO-875)	fee-info.pdf	8538	no	2
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>			3046616		
<p><b>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</b></p> <p><b><u>New Applications Under 35 U.S.C. 111</u></b>  If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b>  If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p>					

## Electronic Patent Application Fee Transmittal

Application Number:

Filing Date:

Title of Invention:

METHODS FOR COATING A SUBSTRATE AND FORMING A  
COLORED FILM AND RELATED DEVICE

First Named Inventor:

Jean Durand

Filer:

Matthew Richard Jenkins

Attorney Docket Number:

CLB 003 P2 - BR 26743

Filed as Large Entity

### U.S. National Stage under 35 USC 371 Filing Fees

Description

Fee Code

Quantity

Amount

Sub-Total in  
USD(\$)

Basic Filing:

National Stage Fee

1631

1

300

300

Natl Stage Search Fee - Report provided

1642

1

400

400

National Stage Exam - all other cases

1633

1

200

200

Pages:

Claims:

Claims in excess of 20

1615

7

50

350

Miscellaneous-Filing:

Petition:

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
<b>Patent-Appeals-and-Interference:</b>				
Post-Allowance-and-Post-Issuance:				
<b>Extension-of-Time:</b>				
<b>Miscellaneous:</b>				
<b>Total in USD (\$)</b>				<b>1250</b>



VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

Applicant or Patentee : NEYCO  
Serial or Patent No. : 10/599,691  
Filed or Issued : October 5, 2006  
Title : METHODS FOR COATING A SUBSTRATE AND FORMING A COLORED FILM AND  
RELATED DEVICE

I declare that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern  
identified below:

NAME OF CONCERN \_\_\_\_\_

ADDRESS OF CONCERN \_\_\_\_\_

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12 and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.  
☒ the application identified above.  
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.  
☐ each such person, concern or organization is listed below:

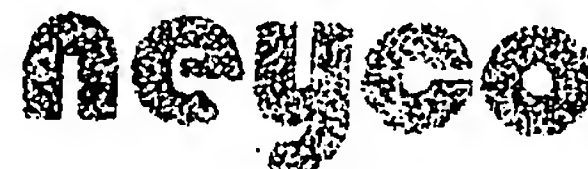
Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under '1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING RICHARDT Isabelle  
TITLE OF PERSON OTHER THAN OWNER PDG  
ADDRESS OF PERSON SIGNING 57 RUE RAPHAEL 92170 VANVES - FRANCE

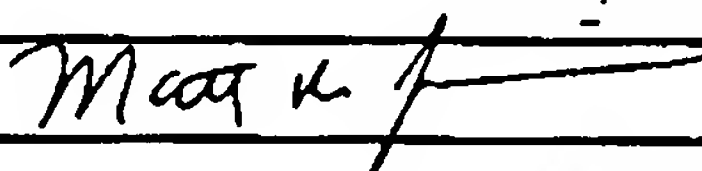
SIGNATURE [Signature] DATE October 24th 2006

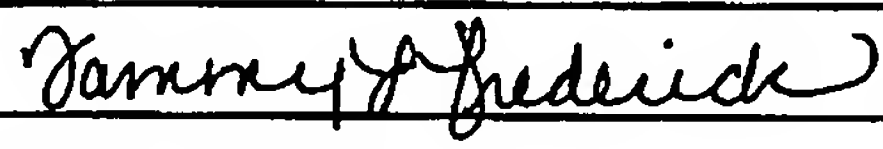


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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/599,691
	Filing Date	October 5, 2003
	First Named Inventor	Jean Durand et al.
	Art Unit	
	Examiner Name	
Total Number of Pages in This Submission	8	Attorney Docket Number CLB 003 P2 - BR 26743

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Card; Copy of Electronic Acknowledgement Receipt and Copy of Small Entity Statement - (37CFR 1.9(f) and 1.27(c))
Remarks Refund Request for Application Filing Fee mistakenly submitted for a large entity, when Applicant is in fact a small entity.		
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>		
Firm Name	Jacox, Meckstroth & Jenkins	
Signature		
Printed name	MATTHEW R. JENKINS	
Date	11-22-2006	Reg. No. 34,844

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:		
Signature		
Typed or printed name	Tammy L. Frederick	Date 11-22-2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.